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#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of Schulken

Art Unit: 3751

2005 JUL 19 FM 45 22 US PATENT & TRADEMARK

OFFICE

Patent No.: 6,908,245 Issued: June 21, 2005

Serial No. 10/826,351 Filed: April 19, 2004

For: GRIPPING AID

Examiner: Le, Huyen D

#### REQUEST FOR REFUND OF PUBLICATION FEE

Honorable Commissioner of Patents & Trademarks Washington, D.C. 20231

Sir:

Applicant was required to, and did pay, the \$300 Publication Fee. Enclosed as proof of payment are a copy of

1) the Fee(s) Transmittal Form, which shows the Publication Fee was enclosed:

## "[x] Publication Fee",

- 2) applicant's Receipt Card for the subject patent application (Docket #101-10), which is date-stamped April 29, 2005, and makes of record a check in the amount of \$1030 (\$700 Issue Fee, \$300 Publication Fee, \$30 Advance Order), and
- 3) KROBOTH LAW OFFICE check #1166 (front & back) dated April 28, 2005, for Docket #101-10, in the amount of \$1030.

However, the subject PATENT has already issued, and the issuance occurred at about 14 months of pendency. It is NOT expected that Publication will occur. Therefore, Refund of the Publication Fee is in order and should be granted. Credit of the \$300 Publication Fee to Deposit Account #11-1830, is respectfully requested.

espectfully submitted,

inothy R Kroboth

Reg. No. 228,435 (704) 846-3105 -300.00 OP

#### PART B - FEE(S) TRANSMITTAL Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE JUL 1 5 2005 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (703) 746-4000 appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as maintenance fee notifications. 1. TAX (705) 746-4000 1. TAX (705) 746-4000 1. TAX (705) 746-4000 2. TAX (705) 746-4000 3. This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for CURRENT CORRESPONDENCE ADDRESS (Note: Use Block | for any change of address) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 02027 7590 04/21/2005 TIMOTHY R. KROBOTH Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below. KROBOTH LAW OFFICE 5501 PROVIDENCE COUNTRY CLUB DRIVE CHARLOTTE, NC 28277 (Signature) APPLICATION NO FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/826,351 04/19/2004 Toni M. Schulken 101-10 1033 TITLE OF INVENTION: GRIPPING AID APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$700 \$300 \$1000 07/21/2005 EXAMINER ART UNIT CLASS-SUBCLASS LE, HUYEN D 3751 401-006000 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Timothy R. Kroboth Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO DE DOD

TITLE THE RESIDENCE DATA TO BE PAINTED OF	N THE PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, no assign- recordation as set forth in 37 CFR 3.11. Completion of this form is N	be data will appear on the patent. If an assignee is identified below, the document has been filed for IOT a substitute for filing an assignment.
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PATHWAYS FOR LEARNING PRODUCTS, INC.	Charlotte, NC
Please check the appropriate assignee category or categories (will not be	printed on the patent):
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Publication Fee (No small entity discount permitted)	Payment by seedit and F PTO and a
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To copied	Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized by charge the required feets), or credit any overpayment, to Deposit Account Number
5. Change in Entity Status (from status indicated above)	(enclose an extra copy of this form).
a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.	b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).
The Director of the USPTO is requested to apply the Issue Fee and Public NOTE: The Issue Fee and Publication Fee (if required) will not be accept interest as shown by the records of the United States Patent and Tradema	cation Fee (if any) or to re-apply any previously paid issue fee to the application identified above, ted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in the applicant.
Authorized Signature / ) mily 2. /4	Date 4/28/03
Typed or printed name rimothy R. Krobot	Registration No. 20, 433
This collection of information is required by 37 CFR 1.311. The information an application Confidentiality	ion is required to obtain or retain a henefit by the public which is to 61, (and but a line)

an application. Confidentiality is governed by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) submitting the completed application form to the USPTO. Time of this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450,

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PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

101-10 Amendment Information Disclosure Statement 10/826,351 Serial No. w/PTO 1449 w/Documents ) Assignment and Fee Applicant: ) Letter to Official Draftsperson Schulken w/Formal Drawing Is Publication
Issueree Transmittal Form and Fees
Check for \$1030
Amendment under 37 CFR 1.312 The Patent Office acknowledges, and has stamped herdon, the date of ) Supplemental Declaration ) Notice of Appeal and Fee the receipt of the items checked: ) Appeal Brief (3) and Fee ) Extension of Time ) Appeal Brief ) Notice of Opposition ) Trademark Application, Specimens, Drawing, Power of Attorney and Fee

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# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Filed: April 19, 2004

For: GRIPPING AID

### REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322

Honorable Commissioner of Patents & Trademarks Washington, D.C. 20231

Sir:

As a result of mistakes incurred through the fault of the Patent Office, claim 12 is incorrect. Specifically, mistakes were made by an Examiner's Amendment, a copy of which is attached, and by the Printer. To assist review, a copy of originally filed page 17, which includes claim 12, is also attached.

It is clearly evident with respect to the amendment made to Line 7 of claim 12 by the Examiner's Amendment, that "a" in the insertion "a generally arched" should NOT have been included by the Office. A mistake is clearly evident in that this portion of patented claim 12 reads: "further comprises a first a generally arched thumb". Instead, this portion of patented claim 12 should read "further comprises a first generally arched thumb".

It is clearly evident with respect to the amendment made to Line 9 of claim 12 by the Examiner's Amendment, that the Printer mistakenly placed the specified insertion "after", and not in accordance with the instruction "before". This portion of patented claim 12 should read "z-directions, wherein said raised ridge comprises a proximal ridge end and a distal ridge end, and the ridge border". Instead, this "and" is misplaced in patented claim 12.

It is clearly evident with respect to the amendment made to Lines 11-15 of claim 12 by the Examiner's Amendment, that the instruction "after" was OMITTED by the Office prior to "axis", and further that "axis" should NOT have been deleted by the Printer. A mistake is clearly evident is that NO instruction precedes "axis", and furthermore in that this portion

of patented claim 12 reads: "relative to said x-." Instead, this portion of patented claim 12 should read "relative to said x-axis."

Thus, mistakes were made to claim 12 through fault of the Patent Office, and issuance of a Certificate of Correction (attached) is respectfully requested. In the event issuance of a Certificate of Correction requires payment of a fee, authorization is hereby given to charge the necessary fee to Deposit Account #11-1830.

As a matter of information, the Office is informed that a lawsuit (Case Number 05 CV 5896) was filed in connection with the subject U.S. Patent on June 24, 2005.

Respectfully submitted,

Timothy P. Kroboth Reg. No. 28,435 (704) 846-3105 Application/Control Number: 10/826,351

Art Unit: 3751

# **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Tim Kroboth on April 7, 2005.

The application has been amended as follows:

# In claim 12 (renumbered claim),

Line 7, after "first", --a generally arched-- has been added.

Line 8, after "rim", -and a second generally arched thumb wrappreventing rim- has been added.

Line 8, after "that", "extends" has been deleted.

Line 8, after "that"--extend from a border of a raised ridge-- has been added.

Line 8, after "generally in", --opposite z-directions-- has been added.

Lines 8-9, after "generally in", "a z-direction from a border of a raised ridge" has been deleted.

Line 9, before "and", --wherein said raised ridge comprises a proximal ridge end and a distal ridge end, -- has been inserted.

Lines 11-15, "axis", ",and wherein said generally concave surface rises in the direction of the said thumb wrap preventing rim to form a lesser rim that extends

11. The gripping aid of claim 1, wherein said grip body is symmetrical on each side of an x-y plane parting line such that one side of said grip body comprises said first longitudinally extending surface and said first thumb wrap-preventing rim, and the other side of said grip body comprises a second longitudinally extending surface and a second thumb wrap-preventing rim.

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- 12. A gripping aid for a hand-held instrument comprising a grip body provided with a bore for receiving the instrument, wherein said bore defines an x-axis of an x-y-z coordinate system, wherein said grip body comprises a first
- longitudinally extending surface comprising a generally concave surface located relatively near a distal end of said body, wherein said grip body further comprises a first thumb wrap-preventing rim that extends generally in a z-direction from a border of a raised ridge, and the ridge
- border is elevated in a y-direction relative to said x-axis, and wherein said generally concave surface rises in the direction of said thumb wrap-preventing rim to form a lesser rim that extends generally in said z-direction a shorter distance than said thumb wrap-preventing rim
- 25 extends.
  - 13. The gripping aid of claim 12, wherein said grip body further comprises a longitudinally extending saddle, comprising a seat between a distal saddle lip and an
- opposing proximal saddle lip that extend generally in a ydirection opposite from the elevation direction of said ridge border.
- 14. The gripping aid of claim 13, wherein said distal saddle lip extends further than said proximal saddle lip in

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# UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO.

: 6,908,245 B1

DATED

: June 21, 2005

INVENTOR(S): T. Schulken

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 8,

Line 61, after "first", delete "a".

Line 65, prior to "wherein", delete "and".

Line 66, prior to "the ridge", insert -- and --.

Line 67, insert --x-axis-- for "x-".

## MAILING ADDRESS OF SENDER:

Timothy R. Kroboth

5501 Providence Country Club Drive

Charlotte, NC 28277-2636

PATENT NO. 6,908,245

No. of additional copies

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Pstent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED COMPLETED THIS ADDRESS SEND TO: Attention Cartificate of Corrections Branch, Commissioner for Patents P.O. Box 1450, Alexandria FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria,

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